IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: **09/436,347** Group Art Unit: 1643

Confirmation No.: 6491 Examiner: A.M. Harris

Filed: 9 November 1999

Inventor: Christine A. WHITE *et al.*

For: Treatment of Chronic Lymphocytic Leukemia using Anti-CD20 Antibodies

(as amended)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the requirements and provisions of 37 C.F.R. §§ 1.56, 1.97, and 1.98, applicant cites the information listed on the Form PTO-1449 that accompanies this paper and the pending patent applications identified below. Applicant does not represent that a search has been conducted or that the cited documents are prior art against the claims in this application.

Copies of the cited non-U.S. patent documents, with the exception of items D62, D101, D153, D204, and D257 accompany this submission. The latter documents were submitted as attachments to the RCE and amendment filed on 7 August 2006 and are not duplicated here.

This disclosure statement is filed under the provisions of 37 C.F.R. § 1.97(b)(4) prior to the mailing date of an action on the merits following a Request for Continued Examination under 37 C.F.R. § 1.114. Applicant believes that no fee is due in connection with this disclosure statement. However, should any additional fee be required to render this paper timely or proper, applicant requests that the Director charge the required fee to our Deposit Account No. 18-1260.

Copending patent applications

In addition to the information cited on the Form PTO-1449 that accompanies this paper, applicant directs the examiner's attention to the commonly-owned pending U.S. patent applications listed below.

Serial No.	Filing Date	First Inventor
09/628,187	28 Jul 2000	White
09/762,587	06 Sep 2001	Grillo-López
09/911,692	25 Jul 2001	Anderson
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10/238,681	11 Sep 2002	Anderson
10/440,186	19 May 2003	Grillo-López
10/850,712	21 May 2004	Grillo-López

Respectfully submitted,

/David L. Fitzgerald/

David L. Fitzgerald, Reg. No. 47,347 Attorney for Biogen Idec Inc.

SIDLEY AUSTIN LLP 1501 K Street, N.W. Washington, DC 20005 tel. (202) 736-8818

fax (202) 736-8711

INFORMATION DISCLOSURE STATEMENT

Docket No.	27693-01201	Serial No:	09/ 436,347
Inventor(s):	Christine WHITE et al.	Examiner:	A.M. HARRIS
Filed:	9 November 1999	Art Unit:	1643

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INITIAL	INDEX	DOCUMENT	DATE	NAME	CLASS	SUB.	FILING DATE
	D1	Re 38,008	25 Feb 2003	Abrams			
	D2	4,831,175	16 May 1989	Gansow			
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	D6	5,246,692	21 Sep 1993	Gansow			
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	D41	0 274 394 A2	13 Jul 1988	EP					
	D42	0 451 216 B1	24 Jan 1996	EP					
	D43	0 669 836 B1	7 Mar 1996	EP					
	D44	0 682 040 A1	15 Nov 1995	EP					
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	Adams R.A. et al. <i>Cancer Res.</i> 28(6): 1121-25, 1968. Direct implantation and serial transplantation of human acute lymphoblastic leukemia in hamsters, SB-2. Alas S. et al. <i>Clin. Cancer Res.</i> 7(3): 709-23, 2001. Inhibition of interleukin 10 by rituxim results in down-regulation of bcl-2 and sensitization of B-cell non-Hodgkin's lymphoma to apoptosis. Alas S. et al. <i>Clin. Cancer Res.</i> 8(3): 836-45, 2002. Rituximab modifies the cisplatin-mitochondrial signaling pathway, resulting in apoptosis in cisplatin-resistant non-Hodgkin' lymphoma. Almasri N.M. et al. <i>Am. J. Hematol.</i> 40: 259-63, 1992. Reduced expression of CD20 antig as a characteristic marker for chronic lymphocytic leukemia.		
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	D67	Appelbaum F.R. Hem. Onc. Clin. N. Amer. 5(5): 1013-25, 1991. Radiolabeled monoclonal antibodies in the treatment of non-Hodgkin's lymphoma.	
	D68	Armitage J.O. et al. <i>Cancer</i> 50: 1695-1702, 1982. Predicting therapeutic outcome in patients with diffuse histiocytic lymphoma treated with cyclophosphamide, adriamycin, vincristine and prednisone (CHOP).	

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	D72	Berinstein N.L. et al. <i>Ann. Oncol.</i> 9: 995-1001, 1998. Association of serum rituximab (IDEC-C2B8) concentration and anti-tumor response in the treatment of recurrent low-grade or follicular non-Hodgkin's lymphoma.
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	D75	Biogen Idec Inc. v. Corixa Corp., Case No. 01-CV-1637 IEG (RBB), Order Granting Patentees' Motion for Reconsideration, etc. (S.D.Cal., Jan. 22, 2004).
	D76	Biogen Idec Inc. v. Corixa Corp., Case No. 01-CV-1637 IEG (RBB), Stipulation of Dismissal of Claims and Counterclaims with Prejudice and Order (S.D.Cal., May 13, 2004).
	D77	Bosly A. et al. <i>Nouv. Rev. Fr. Hematol.</i> 32(1): 13-16, 1990. Interleukin-2 after autologous bone marrow transplantation as consolidative immunotherapy against minimal residual disease.
	D78	Boulianne G.L. et al. <i>Nature</i> 312: 643-46, 1984. Production of functional chimaeric mouse/human antibody.
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INITIAL	INDEX	CITATION
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	D82	Buchsbaum D.J. et al. <i>Cancer Res.</i> 52: 6476-81, 1992. Therapy with unlabeled and ¹³¹ I-labeled pan-B-cell monoclonal antibodies in nude mice bearing Raji Burkitt's lymphoma xenografts.
	D83	Buchsbaum D.J. et al. <i>I.J. Rad. Oncol. Biol. Phys.</i> 18: 1033-41, 1990. A comparison of ¹³¹ I-labeled monoclonal antibody 17-1A treatment to external beam irradiation on the growth of LS174T human colon carcinoma xenografts.
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	D87	Caligiuri M.A. <i>Semin. Oncol.</i> 20(6 Suppl 9): 3-10, 1993. Low-dose interleukin-2 therapy: rationale and potential clinical applications.
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	D90	Calvert J.E. et al. <i>Semin. Hematol.</i> 21(4): 226-243, 1984. Cellular events in the differentiation of antibody-secreting cells.
	D91	Carrasquillo J.A. et al. <i>J. Nucl. Med.</i> 26: 67, abst. no. 276, 1985. Improved imaging of metastatic melanoma with high dose 9.2.27 In-111 monoclonal antibody.
	D92	Cayeux S. et al. <i>Blood</i> 74(6): 2270-77, 1989. T-cell ontogeny after autologous bone marrow transplantation: failure to synthesize interleukin-2 (IL-2) and lack of CD2- and CD3-mediated proliferation by both CD4- and CD8+ cells even in the presence of exogenous IL-2.
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INITIAL	INDEX	CITATION
	D94	Chinn P. et al. <i>Proc. Ann. Mtg. Am. Assn. Cancer Res.</i> 33: 337, abst. no. 2012, 1992. Production and characterization of radiolabeled anti-CD20 monoclonal antibody: potential application to treatment of B-cell lymphoma.
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	D99	Clark E.A. et al. <i>Proc. Natl. Acad. Sci. USA</i> 82(6): 1766-70, 1985. Role of the Bp35 cell surface polypeptide in human B-cell activation.
	D100	Classon B.J. et al. <i>J. Exp. Med.</i> 169(4): 1497-1502, 1989. The primary structure of the human leukocyte antigen CD37, a species homologue of the rat MRC OC-44 antigen.
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	D102	Cohen Y. et al. <i>Leuk. Lymphoma</i> 43(7): 1485-87, 2002. Large B-cell lymphoma manifesting as an invasive cardiac mass: sustained local remission after combination of methotrexate and rituximab.
	D103	Coiffier B. et al. <i>Blood</i> 92(6): 1927-32, 1998. Rituximab (anti-CD20 monoclonal antibody) for the treatment of patients with relapsing or refractory aggressive lymphoma: a multicenter phase II study.
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	D109	Czuczman M. et al. <i>Blood</i> 94(10 Supp. 1): 99a, abst. no. 432, 1999. Rituximab/CHOP chemoimmunotherapy in patients (PTS) with low grade lymphoma (LG/F NHL): progression free survival (PFS) after three years (median) follow-up.
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	D127	Eary J.F. et al. <i>J. Nuc. Med.</i> 31(8): 1257-68, 1990. Imaging and treatment of B-cell lymphoma.
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	D136	Gordon L.I. et al. <i>Blood</i> 94(10 Suppl. 1): 91a, abst. no. 396, 1999. ZEVALIN TM (IDEC-Y2B8) radioimmunotherapy of rituximab refractory follicular non-Hodgkin's lymphoma (NHL): interim results.
	D137	Gordon L.I. et al. <i>J. Immunother</i> . 22(5): 459, 1999. Update on IDEC-Y2B8 (ZEVALIN TM) radioimmunotherapy of B-cell NHL.
	D138	Greenberger J.S. et al. <i>Cancer Res.</i> 45(2): 758-67, 1985. Effects of monoclonal antibody and complement treatment of human marrow on hematopoiesis in continuous bone marrow culture.
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INITIAL	INDEX	CITATION
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